



# THE CERTIFICATE IN EMPLOYEE BENEFITS LAW<sup>SM</sup> SEMINAR

**An Information-Packed 4½ Day Seminar That Covers All Important Aspects Of Employee Benefits Law**

- Critical information and insights you need to confidently function at your best.
- Increase your competency and confidence to improve job performance and develop your career.
- The finest faculty in the country. Talented teachers...experienced, responsive.
- Great opportunity to network and share experiences with other participants.
- Earn the Certificate in Employee Benefits Law that attests to the body of knowledge and skills acquired.



Atlanta, Georgia  
April 8-12, 2019



Orlando, Florida  
July 15-19, 2019



Las Vegas, Nevada  
October 21-25, 2019

**"All compensation and benefits professionals should consider this seminar. There is a large volume of valuable information presented in an effective, dynamic setting. The speakers are certainly well-equipped and are very attuned to the needs of the class members."**

Todd Hanson  
Director, Human Resources - Support Services  
Printpack, Inc.  
Atlanta, Georgia

**"IAML once again surpassed my expectations. The seminar was awesome and the degree of information presented to our group was so valuable. I applaud IAML for finding the experts in the various fields and making them available to us. I can hardly wait until the next seminar!"**

Bianca Olivarria  
HR Technician & Disability Management Specialist  
Imperial Irrigation District  
Imperial, California



# 2019

## The Certificate in Employee Benefits Law<sup>SM</sup> Seminar

**Atlanta, GA**  
April 8-12, 2019

**Orlando, FL**  
July 15-19, 2019

**Las Vegas, NV**  
October 21-25, 2019

**“Great material, great pacing, super instructors. A true A+.”**

Sonja Wendt  
Benefits Specialist  
Jet Propulsion Laboratory  
Pasadena, California

**“Very thorough and covered a lot of area!”**

Tobi Lebowitz, Esq.  
Sr. Corporate Counsel  
KLX, Inc.  
Wellington, Florida

**“George Cicotte did a great job!”**

Carolyn Phifer  
Human Resources Manager  
Lowe's Companies, Inc.  
Gainesville, Georgia

This 4½-day seminar has been approved for 29.75 (HR) credit hours towards PHR and SPHR recertification credit through the HR Certification Institute. The use of the HRCI Approved Provider seal is not an endorsement by HRCI of the quality of the seminars. It means these seminars have met the HRCI criteria to be pre-approved for recertification credit.

The Institute for Applied Management & Law, Inc. is recognized by SHRM to offer Professional Development Credits (PDCs) for the SHRM-CP<sup>SM</sup> or SHRM-SCP<sup>SM</sup>. Earn 29.75 PDCs by attending this 4½ day seminar.



### **INSTITUTE FOR APPLIED MANAGEMENT & LAW, INC.**

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Newport Beach, CA 92660

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Facsimile: (949) 760-8192

www.**IAML**.com



The Certificate in Employee Benefits Law<sup>SM</sup> Seminar enjoys a well-earned reputation as the “complete” benefits law program for today’s employee benefits professionals.

This 4½ day seminar provides current, practically-oriented, and detailed information on all significant employee benefits law issues, directives and regulations.

Both experienced and less experienced employee benefits and human resources management professionals find this program delivers the depth and breadth of benefit coverage they require in virtually every aspect of retirement plans, special benefit issues and welfare benefit plans.

Consider that this program provides:

- A thorough presentation of benefit statutes and regulations from the “alphabet soup” of ERISA, COBRA, FMLA, GATT, IRS, DOL and more; to the detail surrounding the HIPAA guidelines; to the demands and impact of Sarbanes-Oxley and corporate business ethics; and much more.
- Instruction from IAML’s nationally renowned benefits faculty; the highly qualified and experienced presenters (all of whom are practicing attorneys) share their insight, guidance, and savvy on all significant benefits law issues.
- Complete, comprehensive, up-to-date set of materials serving as course working manuals and desktop references.

These enjoyable seminars have it all...timely, comprehensive content; experienced and talented presenters; detailed, extensive materials; and attractive, convenient locations.

And by participating in this 4½ day seminar you will earn IAML’s prestigious Certificate in Employee Benefits Law. We hope that we can look forward to your participation.

Sincerely yours,

Robert M. Lee  
Executive Director

# Objectives and Features

of the Certificate in Employee Benefits Law<sup>SM</sup> Seminar

## OVERVIEW OF SEMINAR

The Certificate in Employee Benefits Law<sup>SM</sup> Seminar is a 4½ day program that is designed to be the most comprehensive and practical seminar available in its field. The seminar covers employee benefits issues that arise in the design and administration of employee benefit plans, the management of their assets, controversies between plan sponsors, trustees, participants and the numerous government agencies that regulate these plans. The accelerating changes in the legal environment, coupled with the complex, technical nature of the laws and regulations and increasing governmental scrutiny, make it extremely difficult to keep current in this field.

This seminar addresses this need by providing participants with insights into the many regulations, laws and their requirements, the governmental agencies which administer and enforce those laws, and the litigation and court opinions resulting from the enforcement efforts of these agencies, as well as private causes of action to enforce the provisions of these laws. The goal of this seminar is to improve the participant's ability to identify and limit risks to their organization in dealing with employee benefit plans and to provide "best practices" insights. The program is sponsored and designed by the Institute for Applied Management & Law, Inc. in consultation with attorneys from leading law firms who regularly advise and represent a broad range of plan sponsors and plan fiduciaries in their dealings with employee benefit plans.

## PROGRAM OBJECTIVES

The 4½ day Certificate in Employee Benefits Law<sup>SM</sup> Seminar is designed to give participants the practical information they need to be more effective professionals. This is accomplished by providing the following:

- A comprehensive understanding of the requirements of federal and state laws and regulations relating to the administration of employee benefit plans and the management of their assets and how they affect plan sponsors, plan fiduciaries, and plan service providers.

- The basic skills to administer employee benefit plans and manage their assets pursuant to the current requirements of the law; to recognize and avoid problem areas and to cope with regulatory requirements; to respond appropriately to compliance complaints; and to minimize the possibility of expensive and time-consuming litigation.
- Information regarding current regulatory, litigation, and legislative developments and trends in the employee benefits field which will enable participants to make important contributions to the administration and management of employee benefit plans performed by their organizations, and to anticipate and cope with the ever-emerging regulatory and enforcement developments in these critical areas.

## BENEFITS

By participating in the Certificate in Employee Benefits Law<sup>SM</sup> Seminar you will:

- Learn the "best practices" in this complex field, including recent developments
- Improve your on-the-job skills immediately
- Increase your confidence in dealing with the complex area of employee benefits
- Reduce your organization's exposure to expensive and time-consuming litigation
- Update your information and knowledge in a rapidly changing field of law
- Have all your employee benefits law questions answered by highly experienced attorneys and consultants
- Increase your value to your organization
- Meet and exchange ideas with colleagues in a stimulating environment
- Earn an important credential... The Certificate in Employee Benefits Law<sup>SM</sup>...from a highly respected organization... The Institute for Applied Management & Law, Inc.

## SEMINAR FORMAT

**BLOCK I** 2 Days  
Monday & Tuesday  
**Retirement Plans**

**BLOCK II** 1 Day  
Wednesday  
**Benefit Plan Claims, Appeals and Litigation Tips and Traps**

**BLOCK III** 1½ Days  
Thursday & Friday  
**Health Care Reform and Other Welfare Benefit Plan Issues**

## SEMINAR FEATURES

### Seminar Structure

The Certificate in Employee Benefits Law<sup>SM</sup> Seminar is comprised of three "blocks" of instruction which are presented on 4½ consecutive days. Participants are encouraged to register for the entire program; however, registrations for only one or two of the blocks are accepted.

### Extensive Materials

The Certificate in Employee Benefits Law<sup>SM</sup> reference materials are specially prepared for this seminar and are completely current. The materials are used during the seminar and serve as valuable desktop manuals on the job.

### Certificate Awarded

The Certificate in Employee Benefits Law<sup>SM</sup> is awarded when a participant attends all three blocks of the seminar. No examinations are given. Certificates are mailed two to four weeks after the end of each program. NOTE: If you complete only one or two blocks you can still receive a certificate if you complete the remaining block or blocks within a two-year period. All blocks need not be completed at the same location.

### Renowned Instructors

All seminar block leaders are nationally renowned employee benefits law attorneys from leading law firms who have extraordinary backgrounds, extensive practical experience and a demonstrated ability to teach the material in an interesting manner.

### Personal Interaction

Faculty members encourage questions from participants. All your questions will be answered during the ample time provided during sessions, at breaks, lunches and after the sessions. The collegial atmosphere fosters the sharing of ideas and experiences among participants.

**"I enjoyed the open and casual approach to this training. All in attendance appeared comfortable enough to participate and ask questions."**

Deborah Carnelli  
Resolutions and Receivership Specialist  
Federal Deposit Insurance Corporation  
Dallas, Texas

**"Both instructors were clearly knowledgeable and informed on the latest developments."**

Julie M. Lindstrom  
Human Resources Specialist  
Fluor Hanford  
Richland, Washington

**"I really enjoyed the class. I appreciated Tom Schendt's teaching styles and how he involved everyone in the class and made it interactive. He certainly succeeded in making a potentially boring subject quite fun."**

S. Greg Robinson  
Human Resources and Safety Manager  
Suominen Nonwovens  
Bethune, South Carolina

**"I have taken three programs with IAML and they have all been excellent and provided a wealth of knowledge."**

Carrie Shields, PHR, SHRM-CP  
Human Resources Specialist IV  
JT3 LLC  
North Las Vegas, Nevada

**"Loved the instructors! The course provided so much valuable information."**

Emily Ruelle, PHR, SHRM-CP  
People Partner  
Google  
Seattle, Washington

## Who Should Attend

Representatives from plan sponsors, organizations which serve as plan fiduciaries, and entities which provide services to employee benefit plans will all profit from attending. This seminar will be of particular benefit to those with the titles and/or responsibilities listed below.

- Plan administrators
- Bank trust and legal departments
- Managers of the human resources departments of plan sponsors
- Human Resource Professionals
- Insurance companies managing plan assets
- Registered investment managers serving as fiduciaries
- Broker-dealers providing services to plans
- Third party plan administrators
- Record keepers to plans
- Finance professionals
- Officers and directors of plan sponsors
- Plan trustees
- Named fiduciaries of employee benefit plans
- Attorneys, counseling plan sponsors or plan fiduciaries
- Corporate counsel
- Accountants providing services to plans
- Actuaries providing services to plans
- Employee Benefit Specialists

**“Dominic DeMatties brought a great energy and wealth of knowledge. I appreciated the real-life examples and application. Ashley Gillihan was also great. He spoke my “visual” language and was very knowledgeable and engaging.”**

Joyce Duffey  
Benefits Manager  
Mondi Bags  
Atlanta, Georgia

# SEMINAR CONTENT The Certificate In Employee Benefits Law<sup>SM</sup>

## Block I: Retirement Plans Monday & Tuesday

### Overview of the Statutes and Regulatory Agencies Governing Retirement Plans

- The Alphabet of Laws (ERISA, ADA, COBRA, FMLA, GATT, USERRA, HIPAA and EGTRRA) and agencies (IRS, DOL, EBSA, PBGC, EEOC, SEC and HCFA)
- ERISA Title I and Title II and the division of authority between the IRS, the Department of Labor and the PBGC
- Overview of plan types and qualification requirements

### Fiduciary Rules and Investing Plan Assets

- Overview of prohibited transactions, exemptions, penalties and corrections
- Participant investment direction-Is 404(c) worth all the trouble?
- Participant investment direction in an up and down market
- When investments go bad, who is liable?
- Plan fiduciaries, cofiduciary liability and the liability of service providers
- Paying expenses from plan assets
- Why is the DOL so interested in proxy voting?
- The lessons being learned from Enron
- Investment of education versus advice

### Nondiscrimination Testing for Retirement Plans

- Overview of nondiscrimination testing of participation, benefits, and contributions
- Controlled groups rules
- Highly compensated employee definition
- Coverage rules (Code Section 410(B)), including QSLOBs
- Nondiscriminatory Contributions (Code Section 401(A)(4))
- Safe harbors and general rules for contributions
- Testing benefits, rights, and features
- Cross testing and age weighted profit sharing plans

- 401(k) and 401(m) testing and safe harbor plans
- Dealing with failed 401(k) and 401(m) testing

### Plan Administration

- Day-to-Day Challenges of Plan Administration
- Participant loans
- QDROs
- Salary deferrals and catch-up contributions
- Paperless administration
- Sarbanes-Oxley and blackout periods
- ERISA Section 204(h) notices
- Suspension of benefits provisions

### Plan Distributions

- When can participants take money out of the plan?
- Withholding and rollovers
- Consent rules
- Joint and survivor rules
- Hardship distributions
- Code Section 411(d)(6) Anti-cutback rules and elimination of distribution methods
- Minimum required distributions at age 70½
- Retroactive annuity starting dates

### Plan Audits and IRS and DOL Voluntary Compliance Programs

- Plan Qualification: What is it?
- What happens if a plan is disqualified?
- IRS audit targets
- Correcting errors under IRS compliance programs
- Correcting errors discovered by IRS in an audit
- Late forms filing and how to resolve
- Voluntary Fiduciary Correction Program
- Compliance audits/compliance controls

### Early Retirement Incentive Programs

- Designing the program to achieve goals
- Designing the program to comply with ADEA waiver rules
- Designing to comply with 401(A)(4) rules for retirement early windows
- What the courts are telling us about early retirement windows and ADEA waivers

## Government and Nonprofit Employers – Qualified and Nonqualified Plans

- Who is the employer? How controlled group rules apply to government and nonprofit employees
- Qualification standards for government plans
- Code Section 403(B) plans-contribution limits and IRS audit and voluntary correction programs
- Eligible and Ineligible Code Section 457 plans

## Block II: Benefit Plan Claims, Appeals and Litigation Tips and Traps Wednesday

### ERISA Compliance

- Learn which plans ERISA covers
- How to deal with state-law requirements
- Plan documentation and reporting obligations to participants and the government
- Administering benefit claims
- Making your administrative decisions bullet-proof
- Learn about new ERISA developments and how they impact your plan
- Managing your plans to mitigate the risk of fiduciary liability under ERISA
- Understanding and satisfying fiduciary obligations
- Identifying and investing plan assets
- Protecting your plan's right to reimbursement
- Avoiding the anti-cutback rule

### ERISA Litigation Advantages

### ERISA Litigation Hot Topics

## Block III: Health Care Reform and Other Welfare Benefit Plan Issues

Thursday & ½ day Friday

### ERISA Compliance for Health & Welfare (H&W) Plans: A Hands-On Discussion for Employers and Plan Administrators

- Which welfare plans are subject to ERISA
- Reporting requirements (Form 5500)
- Disclosure requirements (SPD, SMM, etc.)
- Electronic SPDs
- Plan funding rules
- Trust requirements
- What funds are plan assets
- Cafeteria plan trust moratorium
- Fiduciary Obligations
- Prohibited transaction issues
- DOL claim procedure requirements
- ERISA 510 claims
- Impact of ERISA on claims litigation
- Preemption and state law regulation

### Health Care Reform: A Walk Through of Compliance Requirements Every Employer Needs to Know

- Introduction to the Affordable Care Act (ACA) Compliance Mandates
- Play or Pay and the Employer Obligation to Extend Health Coverage
- Cadillac tax and How to Avoid It
- ACA Reporting
- New developments and issues under the Trump Administration

### HIPAA Privacy Overview

- Privacy issues for health plan sponsors
- Business associate contract requirements

### The H & W Plan Alphabet

- Impact of employment nondiscrimination laws on H & W benefits
- Age Discrimination (ADEA)
- Genetic Information Nondiscrimination Act (GINA)
- Pregnancy Discrimination Act
- Americans With Disabilities Act
- Family and Medical Leave Act (FMLA)
- Military leave (USERRA)
- QMCSOs
- Health plan mandates
  - Mental Health Parity
  - Medicare Secondary
  - Cancer Rights Act

### Cafeteria Plan Issues

- Cafeteria plan basics
- Which benefits can be pre-taxed
- Who can participate
- The irrevocable election rule and change in status exceptions
- Health and Dependent Care FSAs
  - Which expenses qualify
  - Claim substantiation rules

### Introduction to Private Exchanges and Consumer Driven Health Care

- Health Savings Accounts (HSAs)
- Introduction to HRA rollover accounts
- What's a Private Exchange and Why Do I Need One

### Discrimination Testing Basics

- Which H & W plans must comply
- Basic operating rules
- What to do if you fail

### COBRA

- COBRA basics
- What the courts are telling us
- Forms and procedures

IAML RESERVES THE RIGHT TO MODIFY CURRICULUM AND TO CHANGE INSTRUCTORS WHEN SUCH CHANGES ARE ADVISABLE FOR ACADEMIC REASONS, OR WHEN CIRCUMSTANCES ARE BEYOND OUR CONTROL.

## PROFESSIONAL EDUCATION

### Human Resource Certification Institute (HCRI)

This seminar has been approved for 29.75 (general) recertification credit hours toward PHR, SPHR, and GPHR recertification.

### Society for Human Resource Management (SHRM)

The Institute for Applied Management & Law, Inc. is recognized by SHRM to offer Professional Development Credits (PDCs) for the SHRM-CP<sup>SM</sup> or SHRM-SCP<sup>SM</sup>. Earn 29.75 PDCs by attending this 4½ day seminar.

### International Foundation of Employee Benefit Plans

Earn 29.75 Continuing Education Credits towards CEBS recertification

### Continuing Legal Education Units

IAML is designated as an approved provider of professional training for the states of California and Pennsylvania. This 4½ day activity has been approved for Minimum Continuing Legal Education credits by the State Bars of California and Pennsylvania in the amount of 29.5 credit hours.

**Other States:** This seminar has been approved for CLE credits in many other states. Please call IAML to see if your state has been approved for CLE this year. IAML requests 45 days prior notification that you wish such credit. An additional charge may be involved.

**“The course was very beneficial and the instructor did a great job.”**

Glen Thorpe  
Consultant  
G.A. Thorpe Consulting Solutions LLC  
Denver, Colorado

**“Leah Singleton has an amazing talent to take content not easily understood and break it down to make it relatable and retainable. She avoided just reading from the slides and apply it to current scenarios a leader could face at work.”**

Tamara Webb, PHR  
Pension Manager  
Ingersoll Rand Company  
Davidson, North Carolina

**“The instructors were fantastic, engaging, dynamic and made the topic tangible and fun.”**

Deborah Greene  
Sr. Vice President, Human Resources  
Israel Discount Bank of New York  
New York, New York

**“John Hickman gave a great presentation. He was enthusiastic, engaging and made the topics discussed relevant to everyone in attendance.”**

Jennie Waski, PHR  
Sr. Benefits Analyst  
Sage Hospitality  
Denver, Colorado

**“The instructors did a fantastic job of presenting the information and maintaining participant engagement.”**

Sandy Lovett  
Human Resources Program Manager  
Thiele Kaolin Company  
Sandersville, Georgia

# 2019 Seminar Schedule

	ATLANTA, GEORGIA	ORLANDO, FLORIDA	LAS VEGAS, NEVADA
Locations	<p><b>April 8-12, 2019</b> Atlanta Marriott Suites Midtown (404) 876-8888</p> 	<p><b>July 15-19, 2019</b> Marriott Orlando World Center (407) 239-4200</p> 	<p><b>October 21-25, 2019</b> Planet Hollywood Resort &amp; Casino (866) 919-7472</p> 
Faculty	<p><b>Block I</b> <b>April 8-9</b> <b>Retirement Plans</b> Thomas G. Schendt Partner Alston &amp; Bird</p>	<p><b>Block I</b> <b>July 15-16</b> <b>Retirement Plans</b> Thomas G. Schendt Partner Alston &amp; Bird</p>	<p><b>Block I</b> <b>October 21-22</b> <b>Retirement Plans</b> Thomas G. Schendt Partner Alston &amp; Bird</p>
	<p><b>Block II</b> <b>April 10</b> <b>Benefit Plan Claims, Appeals and Litigation Tips and Traps</b> Emily Hootkins Sr. Associate Alston &amp; Bird</p>	<p><b>Block II</b> <b>July 17</b> <b>Benefit Plan Claims, Appeals and Litigation Tips and Traps</b> George F. Cicotte Founder The Cicotte Law Firm</p>	<p><b>Block II</b> <b>October 23</b> <b>Benefit Plan Claims, Appeals and Litigation Tips and Traps</b> George F. Cicotte, Esq. Founder The Cicotte Law Firm</p>
	<p><b>Block III</b> <b>April 11-12</b> <b>Health Care Reform and Other Health and Welfare Benefit Plan Issues</b> John F. Hickman Partner Alston &amp; Bird</p>	<p><b>Block III</b> <b>July 18-19</b> <b>Health Care Reform and Other Health and Welfare Benefit Plan Issues</b> Ashley Gillihan Counsel Alston &amp; Bird</p>	<p><b>Block III</b> <b>October 24-25</b> <b>Health Care Reform and Other Health and Welfare Benefit Plan Issues</b> John F. Hickman Partner Alston &amp; Bird</p>
Hotel & City Information	<p>This upscale hotel provides an unbeatable location near alluring attractions such as The Fox Theatre, Piedmont Park and Atlanta Botanical Garden. Guest rooms deliver comfort with plush bedding, thoughtful room service and spacious living areas. Enjoy a meal at Grille 35, serving delectable American cuisine and handcrafted cocktails. For active travelers, maintain a healthy lifestyle at the indoor/outdoor connecting pool and 24-hour fitness center.</p>	<p>Experience a world of possibilities when staying at Orlando World Center Marriott. The hotel offers amazing on-site amenities, as well as a shuttle service to Walt Disney World®. Make a splash at Falls Pool Oasis, featuring two 200-foot waterslides, a 90-foot speed slide, kid's splash park and a nightly laser light show. Enjoy a round of 18 holes at the championship golf course, or improve your swing at Jack Nicklaus Academy, relax with a massage at the full-service spa or take advantage of the state-of-the-art fitness center.</p>	<p>The 4-star Planet Hollywood Resort features rooms that meet its Hollywood theme, each room is dedicated to a certain movie and feature actual props and memorabilia from the film. You're just minutes away from the Bellagio, the Cosmopolitan, Paris Casino, the Desert Passage Shops and the Shops at Crystals. Guest rooms include 42-inch plasma tv's, pillow-top mattresses and PH Hip Luxury Bedding. Enjoy two outdoor pools, a children's pool, the strip's first FlowRider Wave-in-a-Box Double, a full-service spa, and fitness center. Hungry? The resort is home to 20 onsite restaurants. Feel like shopping? More than 150 world-class and one-of-a-kind retail shops and several unique restaurants can be found in the Miracle Mile Shops.</p>

# Faculty Biographies

A faculty of leading employee benefit law attorneys teach the Certificate in Employee Benefits Law<sup>SM</sup> Seminar at each location. They are acknowledged experts in the subjects within their blocks and are excellent presenters.

## George F. Cicotte



is the founder and owner of The Cicotte Law Firm. Before establishing his firm, he practiced in Washington D.C. with one of the nation's preeminent law firms. His broad employee benefits practice involves such diverse issues as labor relations, tax, privacy, employment and fiduciary responsibility. In addition to providing advice and counsel, he frequently defends ERISA lawsuits. He has spoken throughout the country for entities including SHRM, the Western Pension and Benefits Conference and The National Employer Conference. He earned his J.D. at Brigham Young University and his B.A. at the University of Pennsylvania.

## Dominic DeMatties



is a partner with Alston & Bird's Employee Benefits & Executive Compensation Team in Washington, D.C. where he focuses on the design and compliance of qualified and nonqualified deferred compensation plans. He has significant practical and policy experience related to 401(k) plans, employee stock ownership plans (ESOPs) and defined benefit plans. Before joining Alston & Bird, he served as an attorney-advisor in the Office of the Benefits Tax Counsel and Office of Tax Policy at the U.S. Department of the Treasury. He received his B.A. from State University of New York at Geneseo, his M.S. from Rochester Institute of Technology and J.D. from Georgetown University.

## Ashley Gillihan



is a member of Alston & Bird's Employee Benefits and Executive Compensation Group. His practice focuses exclusively on health and welfare benefit plan matters. He lectures frequently and has published a number of articles on health and welfare benefit plan compliance topics. Mr. Gillihan is a graduate of Samford University's Cumberland School of Law.

## John R. Hickman



is a partner in the Employee Benefits Practice Group with Alston & Bird. He received his law degree from Emory University School of Law, graduating with distinction, where he received the Order of the Coif Award. Mr. Hickman's practice focuses primarily upon health and welfare benefit plan matters. He has lectured widely and published articles on HIPAA, ERISA litigation, cafeteria and welfare plan issues. Mr. Hickman is also on the editorial advisory board of the Benefits Law Journal and the Publications Committee of SIIA.

## H. Douglas Hinson



is the leader of Alston & Bird's ERISA Litigation Group and a member of the Securities Litigation Group. He concentrates his practice on ERISA litigation, securities litigation, complex commercial and transaction-based litigation and class action defense. Mr. Hinson is a noted author and speaker on various topics related to ERISA, securities and transaction-based litigation. He received his J.D. degree, cum laude, from Georgetown University Law Center. He is a member of the ABA's Joint Committee on Employee Benefits sponsored by the Sections on Labor and Employment Law, Taxation and Tort and Insurance Practice. He is a presenter in the Advanced Benefits Law Seminar.

## Emily Hootkins



is a senior associate in the Atlanta office of Alston & Bird, where she focuses her practice on the defense of employee benefits disputes and counseling plan sponsors and fiduciaries on regulatory compliance issues and litigation avoidance strategies. She has extensive experience defending ERISA lawsuits, including class actions involving employer stock, service provider fees, plan investments, benefit terminations, retiree health and welfare benefits, private ESOP transactions, and severance matters. She regularly advises clients on individual benefit claims, both during the administrative review process and litigation; and frequently represents clients in government investigations for civil and criminal violations of ERISA. She has been named a Super Lawyers Rising Star for Employee Benefits in Georgia every year since 2016. She received her J.D., cum laude, from Harvard Law School.

## Thomas G. Schendt



is a partner in the Washington, D.C. office of Alston & Bird. Previously, he worked for the Internal Revenue Service, where he assisted in the coordination of national employee benefits litigation, including plan disputes and compliance initiatives. He has lectured frequently and published numerous articles on employee benefits topics. He serves on the editorial boards for BNA's Daily Tax Report and Pension and Benefits Reporter. He received his J.D. from Marquette University Law School.

## Leah Singleton



As Counsel in the Atlanta office of Thompson Hine, Ms. Singleton advises clients on all aspects of employee benefits and executive compensation plans, including their design, implementation, maintenance, correction and termination, as well as ongoing compliance with applicable laws. She advises on pension plan funding, withdrawal issues for multi-employer plans, de-risking of pension plans, and other retirement plan compliance issues triggered by business reorganizations, plan funding, bankruptcy and reductions-in-force. In addition, she advises buyers and sellers on benefit plan issues in corporate transactions such as mergers and acquisitions, stock purchases and asset purchases, including controlled group and affiliated service group issues, impacted benefits, plan consolidations, plan terminations and plan asset transfers. She received her J.D. from Mercer University School of Law.



### Outstanding Faculty

Popular instructor, John R. Hickman (right), partner in the law firm of Alston & Bird, with recent seminar attendees. Mr. Hickman is a featured speaker in IAML's Atlanta and Las Vegas programs and in IAML's Employee Benefits Law Update Seminar.

## About IAML

The Institute for Applied Management & Law, Inc. (IAML) produces practically-oriented seminars for professionals requiring timely and accurate information in employee benefits law, human resource management and employment law.

Founded in 1979, IAML is the nation's leading producer of practical and comprehensive law seminars. More than 70,000 people from thousands of organizations have participated in IAML programs.

Based in Newport Beach, California, IAML works closely with leading law firms and practitioners across the country. In addition to the Certificate in Employee Benefits Law<sup>SM</sup> Seminar, IAML offers the following:

- 2019 Employee Benefits Law Update – 15th Annual Advanced Seminar
- The Certificate in Employee Relations Law<sup>SM</sup> Seminar
- 2019 Employment Law Update – 38th Annual Advanced Conferences
- The Certificate in Essentials of Human Resource Management<sup>SM</sup> Seminar
- Certificate in Conducting Lawful Workplace Investigations<sup>SM</sup> Seminar
- In-House Training Programs
- Webinars on a variety of topics
- IAML also offers proven DVD training products, including a 33 title Employment Law Series, and a 5 title Employment Law Compliance Program that features an optional testing and certification component.
- IAML E-Learning

## Partial List of Organizations Sponsoring Participants

Abbott Laboratories	CWS Capital Partners LLC	Lockheed Martin Corporation	Smithfield Foods, Inc.
Admiral Insurance Co.	Cyberonics	Los Alamos National Laboratory	Smithfield Packing Co., Inc.
Aerospace Corporation (The)	Cypress Semiconductor Corp.	Loyola College in Maryland	SOC LLC Hawthorne
Alaska Native Tribal Health Consortium	Dako North America	Lynn Insurance Group	Societe Generale
Allegiant Air, LLC	Dallas Fort Worth International Airport	Main Line Health	Society of Manufacturing Engineers
Alpha Natural Resources	DB Schenker	Major Tool & Machine, Inc.	SonicWALL, Inc.
Alpha Packaging	Deere & Company	MAPEI Corporation	Southboro Medical Group
Alyeska Pipeline Service Co.	Delta Dental of Oklahoma	Mayo Clinic	Southeastern Freight Lines, Inc.
American Showa	Deltic Timber Corporation	McCain Foods USA, Inc.	Southern California Edison Co.
Ameristar Casino, Inc.	Deseret Mutual Benefits Administrators	McConnell Valdes LLC	Southern California Permanente Medical Group
Amerisure Insurance Co.	Direct General Corporation	McDonald's Corporation	Southern Company Services
Ameritech	Dollar General Corporation	McNeil Technologies	Spectra Energy
Amtrak	Duke Endowment	MDU Resources Group, Inc.	St. Jude Children's Research Hospital
Arcadia Retirement Residence	E Networks	Medical Mission Sisters North America	St. Luke's Hospital
Arctic Slope Native Corporation	Eastern Band of Cherokee Indians	Medico Insurance Co.	State Farm Insurance Companies
Arizona Chemical Company	Eberline Services Hanford, Inc.	MedStar Health	State of Georgia
Arizona Public Service Co. (APS)	EchoStar Communications Corp.	Miami Children's Hospital	State of Montana
Army & Air Force Exchange Service	Elder Care Alliance	MidFirst Bank	Sterling Jewelers Inc.
Atlas Pacific Engineering	EMC Corporation	Missoula Federal Credit Union	Sterling Savings Bank
Atlas Roofing Corporation	Emerald Coast Utilities Authority	MMM Healthcare Inc.	Stew Leonard's
Auburn University	Emerald Queen Hotel & Casinos	MPC Products Corporation	Stewart & Stevenson
Authentic Specialty Foods, Inc.	Employers Mutual Companies	National Education Association	StoneRiver, Inc.
Automobile Club of Southern California	Energy Northwest	National Retail Systems	Stormont-Vail HealthCare
B/E Aerospace, Inc.	Everbank	Navistar International Corporation	Stowers Institute for Medical Research
Bacardi Bottling Corporation	Federal Reserve Bank, Atlanta	Navy Exchange Service Command	STP Nuclear Operating Company
Base Technologies, Inc.	Federal Reserve Bank, Dallas	Nestle USA	SullivanCurtisMonroe Insurance Services
Bashas' Inc.	Federal Reserve Bank, New York	NetJets Inc.	Sun Mountain Lumber Inc.
BayCare Health Systems	Federal-Mogul Corporation	NeuStar, Inc.	Sun National Bank
Baylor College of Medicine	Feed the Children	New York State Nurses Association	Sunrise Senior Living
BBA Aviation Shared Services, Inc.	Fidelity Investments	Newmont Mining Corporation	Superior Essex
Bechtel Corporation	Fieldstone Communities, Inc.	NMB (USA), Inc.	Susquehanna Bancshares, Inc.
Beckman Coulter, Inc.	First Republic Bank	Nordstrom, Inc.	Syngenta Crop Protection, Inc.
Benton Public Utilities District	FirstEnergy Corporation	Norfolk Southern Corporation	Sypris Solutions
Big Lots, Inc.	Florida International University	North American Energy Alliance	Tamko Building Products, Inc.
Black Hills Corporation	Fluor Corporation	North Middlesex Regional School District	Tech Data Corporation
Blue Ridge Electric Membership Corp.	GAIAM, Inc.	Northern Panhandle Head Start Inc.	Tiffany & Co.
Boar's Head Provisions Co., Inc.	GE Aviation	Northern Quest Casino	TJX Companies, Inc.
Brandywine School District	GEICO Corporation	Northrop Grumman	Toyota Material Handling U.S.A.
Braun Electric Company	General Dynamics C4 Systems	Northwestern Energy	Travis Credit Union
BRE Properties, Inc.	Genworth Financial Inc.	Northwestern Mutual Life Insurance Co.	TriHealth, Inc.
Bremner Food Group	Georgia Power Co.	OfficeMax, Inc.	TriZetto Group, Inc.
Briggs & Stratton Corporation	Gila River Casino-Wild Horse Pass	Old Line Bank	Tucson Electric Power Company
Brown-Forman Corporation	Globe Wireless	Oreck Corporation	Tybin Corporation
BSN Medical, Inc.	Gordmans, Inc.	Oregon Farm Bureau Federation	U.S. Department of Energy
Buckeye Technologies, Inc.	Group Voyagers, Inc.	Orlando Health	Union Hospital, Inc.
Bucknell University	Guardian Industries Corp.	Panhandle State Bank	UniSource Energy Corporation
C & S Worldwide Holdings, Inc.	Guidance Software, Inc.	Paraprofessional Healthcare Institute	United Nations Federal Credit Union
CAE USA	Hannaford Bros. Co.	Park Water Company	United States Steel Corporation
California State University at San Marcos	Hannahville Indian Community	Payless ShoeSource, Inc.	UnitedHealth Group, Inc.
Camber Corporation	Harry Winston, Inc.	Pearson, Inc.	University of California
Caraustar Industries Inc.	Haskell Company	Pepco Holdings, Inc.	University of Miami
Cargill, Inc.	Hayes Lemmerz International	Perkins Coie, LLP	University of Nevada, Las Vegas
Carus Corporation	HCIT Group	PETSMART, Inc.	UNUMProvident Corporation
CB & I	Hecla Mining Company	PGT Industries	Utah State University
CarMax	Heinz Frozen Food Company	Philadelphia Zoo	V & M USA Corporation
Cemex Rinker Materials	Highmark, Inc.	PNN Resources, Inc.	Valencia College
Centegra Health System	Hillenbrand Industries	Precision Drilling Oilfield Services	Valourec North America
Central Hudson Gas & Electric	Hitachi Medical Systems America, Inc.	PricewaterhouseCoopers	Vertex Inc.
CH2M HILL Companies, Ltd.	Home Builders Institute	Printpack, Inc.	Ward & Smith, PA.
Charleston Area Medical Center	Horbeck Offshore Services, Inc.	Promex Technologies, LLC	Warner Norcross & Judd LLP
Chelan County Public Utilities District	Howrey Smith Arnold & White	Provision Ministry Group	Washington Savannah River Co.
Chickasaw Nation	Imperial Capital Bank	Prudential Retirement	WAWA, Inc.
Chippewa Valley Technical College	Inergy Automotive Systems	Purdue Research Foundation	Welch Allyn, Inc.
Choice Hotels International	Ingersoll-Rand Company	QinetiQ North America Operations LLC	West Virginia University Medical Corp.
Chubb Corporation	InterDent Service Corporation	Quanex Building Products Co.	Western Mesquite Mines Inc.
CITGO Petroleum Corporation	Intermountain Power Service Corp.	Quest Diagnostics	Western Refining Company
CitiTrends, Inc.	Ion Media Networks	R.L. Polk & Co.	White Castle System, Inc.
City of Boise	Isle of Capri Casinos Inc.	Radian Group Inc.	White Pine Copper Refinery
City of Longmont	ITLA Capital Corporation	Ralcorp Holdings, Inc.	Willis Americas
City of Miami	JM Family Enterprises	Redlands Community Hospital	Windstream Communications
City of San Diego	John Deere Company	Regence Group	WorleyParsons
Cleveland Foundation (The)	JT3 LLC	Reichhold, Inc.	Zurich North America
Cliff Castle Casino	Kawasaki Motors Corp., USA	Reynolds Smith & Hill	
Coastal Forest Resources Co.	Kennametal Inc.	Robert Wood Johnson Foundation	
Coca-Cola Bottling Co. Consolidated	Kent State University	Rockwell Collins, Inc.	
Colonial Pipeline Company	Klein Tools, Inc.	Royal Credit Union	
Commerce Energy Group Inc.	Knott's Berry Farm	RSC Equipment Rental	
Commonwealth Brands, Inc.	Knowledge Learning Corporation	Salt River Materials Group	
Community Resource Credit Union	Koch Business Solutions	Samaritan's Purse	
Computer Services Inc.	Koch-Glitsch, Inc.	Sandia National Laboratories	
Continental Automotive Systems US Inc.	Konecranes, Inc.	Santee Cooper	
Continental Tire North America, Inc.	L-3 Communications	Sedgwick Claims Management	
Corporate Express Inc.	Laclede Gas Company	Seneca Gaming Corporation	
Corrections Corporation of America	Lake Sunapee Bank	ServiceMaster Company	
County of Chatham	Land O'Lakes, Inc.	Severn Trent Services	
County of Mohave	Liberty Media Corporation	Showa Denko Carbon Inc.	
County of Orange	Lifeway Christian Resources	Silgan Holdings Inc.	
Crawford Supply Group	Lindora Inc.	SilverStone Group	
Cristal Global	Lion Copolymer LLC	Sinclair Oil Corporation	
Cummins, Fleetguard	LM Wind Power Blades Inc.	Smith & Nephew, Inc.	

Please note: Due to space limitations we are unable to provide a complete list of participating organizations in this brochure. If you wish to know whether or not others from your organization have previously participated, and do not see your organization listed above, please contact the Institute. Our apologies to those organizations we were unable to list.



# Registration Information

## TO REGISTER

You may register in a Seminar by any of the following methods:

- Telephone IAML at (949) 760-1700 to reserve space(s).
- Fax the registration form to IAML at (949) 760-8192.
- Register online at [www.IAML.com](http://www.IAML.com)
- Mail the registration form to IAML.  
Institute for Applied Management & Law, Inc.  
450 Newport Center Drive, Suite 390  
Newport Beach, CA 92660

(IAML will confirm registrations by email. If you do not receive confirmation within three business days, please call IAML.)

IAML has made arrangements for participants to receive especially attractive room rates at the hotels where the seminars will be held. To reserve a room at a hotel at the special rate, please make your hotel reservations at least four weeks in advance of the seminar and mention that you are participating in an IAML seminar. Registrants are responsible for making their own hotel reservations.

Please note: If you experience any difficulty in making your hotel reservation, even within four weeks prior to the program you want to attend, please call IAML. Through IAML's contacts, there is a good possibility that we can help you secure a reservation at the seminar hotel.

A minimum of one half of the total fees due to IAML should accompany your registration, or a Purchase Order Number should be provided. The total fees payable should be received by IAML at least two weeks prior to the seminar. Arrangements such as deferred billing can be made to accommodate special circumstances by contacting us. IAML accepts AMEX, Discover, MasterCard, and VISA.

While registrations may be accepted within the two weeks prior to the beginning of a seminar, we suggest that you call IAML to confirm space availability.

Participants will receive a full refund of any fees paid if IAML receives written notification that they will be unable to attend at least two weeks prior to their program's starting date. Otherwise, participants are liable for the entire fee. Registrants requesting a transfer to another program within this two week period will be charged an additional fee of \$150.00.

Participants may substitute an associate at any time.

## COST/SCHEDULE

The fee for the full 4½ day Seminar is \$2,375.00. The fee includes specially prepared material, coffee breaks and a reception on the first evening.

Registration fees for those wishing to enroll in only portions of the program are:

- Block I \$1,050.00 (Monday and Tuesday)
- Block II \$550.00 (Wednesday)
- Block III \$950.00 (Thursday and ½ Friday)

### Seminar Schedule

Monday through Thursday, 8:00am to 4:00pm  
Friday, 8:00am to 12:00 noon

## DISCOUNTS

Once an organization has registered a representative for any of the 2019 4½-day Certificate in Employee Benefits Law Seminars, subsequent registrants from the same organization are entitled to a discount. A \$200.00 discount will be given for each subsequent 4½ day registrant. A \$50.00 discount will be given for each subsequent partial program registrant.

To receive the discount, participants need not attend the same location or date. Discounts must be requested at the time of registration.

Very attractive discounts are available to organizations which send 5 or more participants to IAML seminars in any 12-month period. Participants do not need to attend the same seminar at the same location or date.

**"I thoroughly enjoyed the seminar. I really appreciated that the instructors allowed participants to ask questions and work through our issues and concerns."**

Sherry Murphy • Senior Human Resources Business Partner  
Barrick Gold of North America, Inc. • Elko, Nevada

# REGISTRATION FORM The Certificate in Employee Benefits Law<sup>SM</sup> Seminar

## I wish to register for the following seminar:

- Atlanta, GA April 8-12, 2019
- Orlando, FL July 15-19, 2019
- Las Vegas, NV October 21-25, 2019

## I AM REGISTERING FOR: Complete Seminar ONLY the Block(s) indicated\*: Block I Block II Block III

\*Participants may register in one or more blocks, although participation in all three blocks of the 4½ day seminar is recommended.

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Title: \_\_\_\_\_ Bus. Phone: (\_\_\_\_) \_\_\_\_\_ Ext.: \_\_\_\_\_ Fax #: (\_\_\_\_) \_\_\_\_\_  
Employer: \_\_\_\_\_ Employer Address: \_\_\_\_\_  
City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_ (Please include mail stop if required)

NAME AS YOU WOULD LIKE IT TO APPEAR ON CERTIFICATE (FULL SEMINAR REGISTRANTS ONLY):

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

I request CLE credit for (State): \_\_\_\_\_ My Bar # is: \_\_\_\_\_

## PAYMENT INSTRUCTIONS Please make checks payable to IAML. IAML's Federal I.D. Number: 95-3548502

TOTAL FEES DUE: \$ \_\_\_\_\_

Check in full payment  Deposit check for ½ of full fees due  Bill My Employer  Purchase Order No.

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Please detach and mail to IAML, 450 Newport Center Drive, Suite 390, Newport Beach, CA 92660 or fax to (949) 760-8192.

2019

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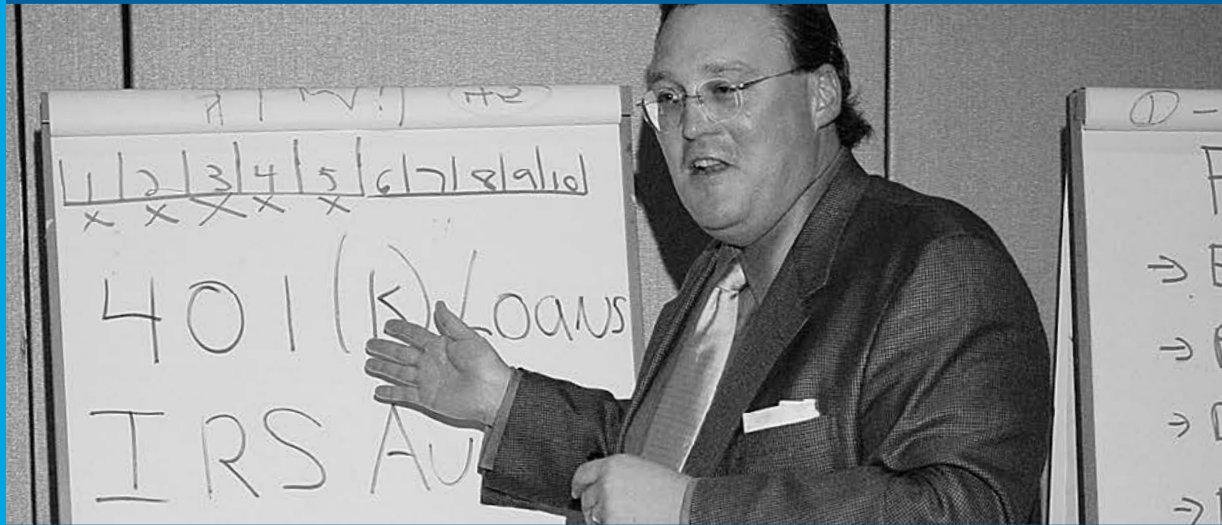
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